

32692

Customer Number

Patent  
Case No.: 55343US007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: TABAR, RONALD J.

Application No.: 10/691981

Confirmation No.: 2467

Filed: October 23, 2003

Title: METHODS OF MAKING HIGH GAIN OPTICAL DEVICES HAVING A  
CONTINUOUS AND DISPERSIVE PHASEINFORMATION DISCLOSURE STATEMENTMail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.
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Date

Signed by: Rebecca C. Bode

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
- ☐ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
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**Certification Under 37 § CFR 1.97(e)(1)**

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

**Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

**Remarks**

Applicants note that Chinese Patent Number 1,285,923, which is the equivalent of United States Patent Number 6,329,046, has been cited against the Chinese application related to application serial number 10/691981 in a pending Chinese Office Action.

Respectfully submitted,

September 12, 2007  
Date

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Attorney Case Number

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## U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number                  | Publication Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------|----------|----------------------------------|--------------------------------|--|---|
|              |          | Doc. Number-(Kind Code if Known) |                                |  |   |
|              | A1       | US-                              |                                |  |   |
|              | A2       | US-                              |                                |  |   |
|              | A3       | US-                              |                                |  |   |
|              | A4       | US-                              |                                |  |   |
|              | A5       | US-                              |                                |  |   |
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## Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | Translation<br>(Check if yes) |
|--------------|----------|-------------------------|----------------------------|--------------------------------|--|---|-------------------------------|
|              |          | Ctry Code               | Number-KindCode (if known) |                                |  |   |                               |
|              | B1       | CN                      | 1,212,760                  | 03-31-1999                     | Allen et al.                                       |   | Abstract                      |
|              | B2       | CN                      | 1,265,622                  | 09-06-2000                     | Merrill et al.                                     |   | Abstract                      |
|              | B3       | CN                      | 1,285,923                  | 02-28-2001                     | Ailun et al.                                       |   | Abstract                      |
|              | B4       |                         |                            |                                |  |   |                               |
|              | B5       |                         |                            |                                |  |   |                               |
|              | B6       |                         |                            |                                |  |   |                               |
|              | B7       |                         |                            |                                |  |   |                               |
|              | B8       |                         |                            |                                |  |   |                               |

## OTHER DOCUMENTS

| Exam. Init.* | Cite No. | Includes name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |
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\*Examiner:

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EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.